

US-Japan-China Comparative Policy Research Institute (CPRI) Corporate Social Responsibility Index (CSRI)

This is the first primary release of CPRI's research on corporate social responsibility index, with a focus on human rights. Many companies added environmental factor to their CSR reports, but it is not comparable or fair among energy, manufacturing and software companies, and I noticed some companies inserting this factor to dilute social concerns. At this stage, I include 33 companies (1 in Japan, 6 in China and the rest in the U.S.) based on my personal knowledge and study on them. The foot notes briefly indicate how I come to conclusion for each company's CSRI. I am fully aware of the methodology constrain of this project (being a Ph. D on social research methodology), and I will improve in next releases. Nonetheless, it provides an alternative perspective among so many proposals or indexes on how to gauge corporate social responsibility in the time of globalization driven mainly by large international companies.

- A: 80-100, leader
- B: 60-80, good citizen
- C: 40-60, average effort
- D: 20-40, concerned, need improvement
- F: 0-20, failure, unethical, unlawful

Jing Zhao US-Japan-China Comparative Policy Research Institute November 8, 2012 [V.1.1] March 21, 2013 [V.1.2] ② 書點帶 化較政策研究所 US-Japan-China. Comparative Policy Research Institute

- A Google<sup>1</sup>
- A- Intel<sup>2</sup>
- B+ Chevron<sup>3</sup>
- B- HP<sup>4</sup>, Microsoft<sup>5</sup>, Cisco<sup>6</sup>
- C+ Dentsu group<sup>7</sup>, Adobe Systems<sup>8</sup>, Best Buy<sup>9</sup>, Boeing<sup>10</sup>
- C Applied Materials<sup>11</sup>, eBay<sup>12</sup>, China Digital TV<sup>13</sup>, JDS Uniphase<sup>14</sup>, Sun Microsystems<sup>15</sup>, Brocade Communications<sup>16</sup>, Visa<sup>17</sup>
- C- Goldman Sachs<sup>18</sup>, China Southern Airlines<sup>19</sup>, Juniper Networks<sup>20</sup>, Oracle<sup>21</sup>,

 $<sup>^1</sup>$  <u>http://cpri.tripod.com/cpr2008/google.html</u> . There are three documents on Google at <a href="http://cpri.tripod.com/cpr13.html">http://cpri.tripod.com/cpr13.html</a> , seven documents on Google at <a href="http://cpri.tripod.com/cpr14.html">http://cpri.tripod.com/cpr14.html</a> including the final review in Chinese at <a href="http://cpri.tripod.com/cpr2010/google2010.html">http://cpri.tripod.com/cpr14.html</a>

 $<sup>\</sup>label{eq:linear} ^2 \ \underline{http://cpri.tripod.com/cpr2011//Intel\ csr.pdf}\ ,\ \underline{http://cpri.tripod.com/cpr2011/ict\ freedom.pdf}\ ,\ \underline{http://cpri.tripod.com/cpr2011/to\ intel110921.pdf}$ 

 $<sup>^3</sup>$  <u>http://cpri.tripod.com/cpr2008/chevron.pdf</u>, <u>http://cpri.tripod.com/cpr2009/chevron2009.pdf</u>. There are five documents on Chevron at <u>http://cpri.tripod.com/cpr14.html</u> including the final review in Chinese at <u>http://cpri.tripod.com/cpr2010/chevron100201.pdf</u>

<sup>&</sup>lt;sup>4</sup> <u>http://cpri.tripod.com/cpr14.html</u> . <u>http://cpri.tripod.com/cpr2011/hp111010.pdf</u>,

http://cpri.tripod.com/cpr2012/occupy\_HP.pdf, http://cpri.tripod.com/cpr2012/hp120912.pdf

http://cn.nytimes.com/article/business/2013/02/08/c08hewlett/en/

http://cpri.tripod.com/cpr2013/hp-policy.pdf

 $<sup>\</sup>underline{http://media.corporate-ir.net/media\_files/irol/71/71087/proxy2013/HTML2/default.htm}$ 

 $<sup>\</sup>underline{http://cpri.tripod.com/cpr2013/hp-shareholders-meeting.pdf}$ 

<sup>&</sup>lt;sup>5</sup> <u>http://cpri.tripod.com/cpr2012/MS\_Shareholders.pdf</u>

 $<sup>^{6}</sup>$  <u>http://cpri.tripod.com/cpr2008/cisco.pdf</u> . There are fourteen documents on Cisco at <u>http://cpri.tripod.com/cpr14.html</u> including five in Chinese.

<sup>&</sup>lt;sup>7</sup> I worked for two Dentsu group companies 1992-95. <u>http://www.dentsu.com/csr/</u>

<sup>&</sup>lt;sup>8</sup> I worked in Adobe 2000-2001 as a Quality Engineer.

http://www.adobe.com/corporate-responsibility/reports.html

<sup>&</sup>lt;sup>9</sup> <u>http://cpri.tripod.com/cpr2012/bestbuy.pdf</u> Note: after further communications with Best Buy, I upgraded its CSRI from C to C+.

<sup>&</sup>lt;sup>10</sup> There are six documents on Boeing at <u>http://cpri.tripod.com/cpr14.html</u> including the final review in Chinese at <u>http://cpri.tripod.com/cpr2010/boeing.pdf</u>

 $<sup>^{11} \</sup>underline{http://cpri.tripod.com/cpr2003/stock.pdf}, \underline{http://www.appliedmaterials.com/about/cr}$ 

 $<sup>^{12}</sup>$  I attended its shareholders meeting and users' event one time each.

http://www.ebayinc.com/social\_innovation

<sup>&</sup>lt;sup>13</sup> <u>http://cpri.tripod.com/cpr2008/stv.html</u>

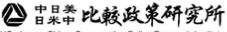
<sup>&</sup>lt;sup>14</sup> <u>http://cpri.tripod.com/cpr2008/jdsu.html</u>

<sup>&</sup>lt;sup>15</sup> <u>http://cpri.tripod.com/cpr2008/sun.html</u> (acquired by Oracle in 2010)

<sup>&</sup>lt;sup>16</sup> <u>http://cpri.tripod.com/cpr2011/Brocade Zhao 20110513.pdf</u>

<sup>&</sup>lt;sup>17</sup> <u>http://cpri.tripod.com/cpr2011//visa.pdf</u>

<sup>&</sup>lt;sup>18</sup> <u>http://cpri.tripod.com/cpr2012/Letter to GS 20120521.pdf</u>



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NetApp<sup>22</sup>, Symantec<sup>23</sup>, Sandisk<sup>24</sup>

- D+ Apple<sup>25</sup>, PetroChina<sup>26</sup>, RadioShack<sup>27</sup>, Zynga<sup>28</sup>, Baidu<sup>29</sup>, Sina<sup>30</sup>
- D Sohu<sup>31</sup>
- F News<sup>32</sup>, Yahoo<sup>33</sup>

## [Updates]

March 21, 2013: downgraded Apple from C- to D+; downgraded Goldman Sachs from C to C-; upgraded HP from C+ to B-.

http://cpri.tripod.com/cpr2012/proposal2013 to GS.pdf http://cpri.tripod.com/cpr2012/gs-policy.pdf http://cpri.tripod.com/cpr2012/gs\_sec.pdf http://cpri.tripod.com/cpr2013/zhao121228.pdf

- http://cpri.tripod.com/cpr2013/SEC130308.pdf http://cpri.tripod.com/cpr2013/gs\_statement.pdf
- <sup>19</sup> <u>http://cpri.tripod.com/cpr2009/chinasouthernairlines.pdf</u>
- <sup>20</sup> <u>http://cpri.tripod.com/cpr2012/juniper.pdf</u>
- $^{21} \underline{http://cpri.tripod.com/cpr2008/oracle.html} , \underline{http://cpri.tripod.com/cpr2012/oracle.pdf}$
- <sup>22</sup> <u>http://cpri.tripod.com/cpr2012/netapp.pdf</u>
- <sup>23</sup> <u>http://cpri.tripod.com/cpr2012/symantec.pdf</u>
- $^{\rm 24}$  Sandisk did not answer my shareholder letter of human rights concern.

 $\frac{25 \text{ http://www.apple.com/supplierresponsibility/ http://cpri.tripod.com/cpr2013/letter to apple2013.pdf}{\text{http://cpri.tripod.com/cpr2013/apple-policy.pdf}}$ 

- <sup>26</sup> <u>http://cpri.tripod.com/cpr2008/petro.pdf</u>
- <sup>27</sup> <u>http://cpri.tripod.com/cpr2012/Zhao\_RadioShack120516.pdf</u>
- <sup>28</sup> <u>http://cpri.tripod.com/cpr2012/csri-chinese.pdf</u>
- <sup>29</sup> I have been its shareholder. It never replied my letters.

<sup>30</sup> I visited Sina when it started in Silicon Valley in 1999, have been its shareholder, and have used its blog and weibo services after it moved to China.

<sup>31</sup> <u>http://cpri.tripod.com/cpr2012/Letter to sohu 20120521.pdf</u>

<sup>32</sup> There are five documents on News Corp. at <u>http://cpri.tripod.com/cpr14.html</u>.

http://cpri.tripod.com/cpr2011/News\_letter.pdf, http://cpri.tripod.com/cpr2011/News\_scandal.pdf

<sup>33</sup> <u>http://www.epochtimes.com/gb/7/6/11/n1739900.htm</u>,

<u>http://cpri.tripod.com/cpr2009/yahoo2009.html</u>. There are five documents on Yahoo at <u>http://cpri.tripod.com/cpr14.html</u>. <u>http://cpri.tripod.com/cpr2011/Yahoo20110623meeting.pdf</u>, <u>http://cpri.tripod.com/cpr2012/yahoo\_220\_complaint.pdf</u>, http://cpri.tripod.com/cpr2012/zhao\_sec.pdf